# Embankment over Soft Clay – Design and Construction Control

#### Ir. Tan Yean Chin

Associate Director, Gue & Partners Sdn Bhd

**ABSTRACT**: The design and construction of embankment over very soft compressible alluvial deposits has always been a challenging task for Engineers. This paper presents a set of guidelines for the design and selection of construction methods for embankment taking into considerations of safety, direct and indirect costs, duration of completion and other cost benefits. Various commonly used ground treatment techniques are also briefly discussed.

#### 1 INTRODUCTION

The construction of embankment (including reclamation with hydraulic fills) over very soft compressible alluvial deposits (e.g. Clay, silty Clay, clayey Silt etc.) is increasing due to lack of suitable land for infrastructures and other developments. The choice of construction method in this formation is not only governed by direct costs, but also the long term maintenance costs, duration of completion and cost benefits.

This paper presents brief guidelines for the selection and design of the various commonly used techniques for construction of embankment on soft soils. General reviews of each of the methods are made including a discussion on their use, applicability and appropriateness. The requirements for additional subsoil information or instrumentation during construction will also be addressed.

# 2 GEOLOGY OF SOFT ALLUVIAL CLAY IN PENINSULAR MALAYSIA

The behaviour of soft alluvial soils is influenced by the source of the parent material, depositional processes, erosion, redeposition, consolidation and fluctuations in groundwater levels.

Generally, alluvial deposits (materials transported and deposited by water action) consist of finest clays to very coarse gravels and boulders. Alluvial soils usually show pronounced stratification and sometimes organic matter, seashell and decayed wood are present in the alluvial deposits.

Raj & Singh (1990) present an overview of the alluvial deposits of Peninsular Malaysia. Figure 1 shows the unconsolidated quaternary sediments in Peninsular Malaysia (After Stauffer, 1973).

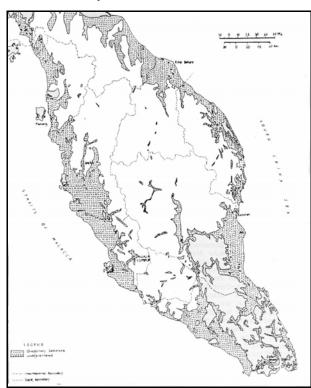


Figure 1: Quaternary Sediments in Peninsular Malaysia (after Stauffer, 1973

The deposits at the Butterworth area (after Bosch, 1988) is shown in Figure 2 and the typical geological cross-section near Butterworth of the North-South Expressway is presented in Figure 3

30-31 May 2005

#### 3 SUBSOIL INVESTIGATION

The subsoil conditions of the proposed embankment need to be established in varying degrees of detail during the planning and design. The basic information required for planning and preliminary design of the embankment includes:

- Site Topography;
- Geology and Landuse;
- Soil Stratigraphy;
- Soil Strength;
- Soil Compressibility;
- Groundwater Levels.

Additional soil properties may be needed depending on the construction methods to be adopted. The planning and interpretation of the site investigation and interpretation will not be covered in this paper. Details of the subject can be obtained from papers by Gue & Tan (2000), Gue (1999), Neoh (1999) and Tan (1999).

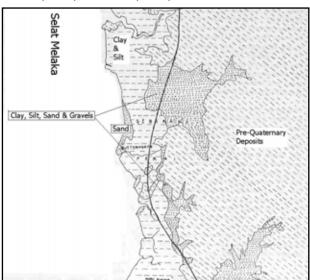


Figure 2: Quaternary Sediments in the Butterworth Area (after Bosch, 1988)

# 4 EMBANKMENT DESIGN

Before carrying out an embankment design and selection of the most appropriate construction methods, the following issues should be considered:

- Boundary of the embankment;
- Influence of the embankment on adjacent structures, services, slopes and drainage;
- Earliest construction start date and completion date;
- Tolerance on settlements and differential settlements of the

- proposed developments or structures.
- Rate at which embankment fill material can be placed;
- Availability of fill from other parts of the site;
- Availability of alternative materials;
- Cost analysis and implication of the ground treatment proposed.
- Future maintenance (frequency and cost)

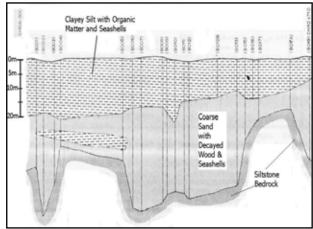


Figure 3: Geological Cross-Section near Butterworth of the North-South Expressway (Raj & Singh, 1990)

### 4.1 Embankment Loading

The embankment loading can either be in single stage or multi-stage.

### Single Stage Loading

It will cause an immediate increase in total stress and if the filling is so rapid such that dissipation of pore pressure cannot take place or insignificant, the stability of the embankment will rely on the in-situ undrained shear strength  $(s_u)$  of the subsoil.

#### Multi-Stage Loading

The advantage of multi-stage is that the subsoil is allowed to increase in strength as consolidation take place under the embankment load. However, the rate of increase in loading needs to be limited so that the ratio of the load to the available strength of the subsoil is within the acceptable factor of safety. This method also requires longer time of construction.

# 4.2 Stability Analysis of Embankment

The stability of the embankment is commonly assessed using a limit equilibrium analysis. It is important in stability analysis of the embankment to consider different potential failure surfaces, circular and non-circular, as shown in Figure 4. This is because circular failure surfaces may not yield the lowest factor of safety (FOS), particularly for embankments on thin clay layers or where discrete weaker layers occur, where translational failure generally dominates. The FOS against failure is usually defined as:

$$FOS = \frac{\frac{s}{s}}{\tau}$$

Where

s =Average shear strength available along the failure surface.

 $\tau$  = Average shear stress applied along the failure surface.

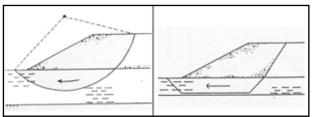


Figure 4 : Circular & Non-Circular Failure Surfaces

Computer programs that offer different methods of limit equilibrium stability analysis are commonly available. Table 1 below summarises the different methods of stability analysis together with the comments.

In general, there are three types of methods in modelling the soil in the stability analysis and they are :

- (a) Total Stress Analysis
- (b) Effective Stress Analysis
- (c) Undrained Strength Analysis

#### 4.2.1 Total Stress Analysis

The stability of the embankment is analysed based only on the available undrained shear strength ( $s_u$ ) of the subsoil prior to start of construction, taking no account of any increase in strength after consolidation. The  $s_u$  can be based on the results of unconsolidated undrained triaxial compression tests (UU), isotropically consolidated undrained triaxial compression tests (CIU), vane tests or Piezocone (CPTU).

# 4.2.2 Effective Stress Analysis

The stability of the embankment can be only be analysed using an effective stress approach, provided that both the total stresses and pore water pressures can be estimated. The available shear strength, *s*, along the shear plane can be obtained as:

$$s = c' + \sigma_n' tan \phi'$$

where c' and φ'define the Mohr-Coulomb effective stress failure envelope and

$$\sigma_n$$
 ' =  $\sigma_n$  -  $u_r$ 

where  $\sigma_n$  is the total normal stress and  $u_r$  is the pore pressure at failure.

It should be noted that effective stress analysis will lead to a more favourable (optimistic, higher FOS) assessment of the stability than the use of undrained analysis (Ladd, 1991).

# 4.2.3 Undrained Strength Analysis

Undrained strength method was developed by Ladd & Foott (1974) and is further refined by Ladd (1991). This method can also take account of the gain in undrained shear strength  $(+\Delta s_u)$  as a result of consolidation. The Undrained strength analysis (USA) extends the total stress analysis by using the current vertical normalised strength ratio of  $s_u/\sigma_v$ , where  $\sigma_v$  is the current vertical effective stress.

(-)	o marame a su emga	where of is the earliest vertical effective stress.
METHOD	FAILURE SURFACE	<u>COMMENTS</u>
Bishop (1955)	Circular	<ul> <li>Consider force and moment equilibrium for each slice. Rigorous method assumes values for the vertical forces on the sides of each slice until all equations are satisfied. Simplified method assumes the resultant of the vertical forces is zero on each slice.</li> <li>Simplified method compares well with finite element deformation methods.</li> </ul>
Janbu (1972)	Non-Circular	<ul> <li>Generalised procedure considers force and moment equilibrium on each slice. Assumptions on line of action of interslice forces must be made.</li> <li>Vertical interslice forces not included in routine procedure and calculated F then corrected to allow for vertical forces.</li> </ul>
Morgenstern & Price (1965)	Non-Circular	<ul> <li>Consider forces and moments on each slice, similar to Janbu Generalised procedure.</li> <li>Consider more accurate than Janbu. No simplified method.</li> </ul>
Sarma (1979)	Non-Circular	<ul> <li>A modification of Morgenstern &amp; Price which reduces the iterations.</li> <li>Considerable reduction in computing time without loss of accuracy.</li> </ul>

Table 1: Methods of Stability Analysis (adapted from Geotechnical Control Office, 1984)

30-31 May 2005

There is a few ways to estimate the ratio of  $s_n/\sigma_v$ ':

a)  $s_{\nu}/\sigma_{\nu}' = 0.11 + 0.0037 \text{ PI}$ 

For normally consolidated clay, the ratio tends to increase with plasticity indeed (PI) (Skempton, 1957).

b)  $s_{u(mob)}/\sigma_p' = 0.22;$ 

where  $s_{u(mob)}$  is the undrained shear strength mobilised on the failure surface in the field, and  $\sigma_p$ ' is the preconsolidation pressure (yield stress) (Mesri, 1988).

Unlike effective stress method, the pore water pressures set up during shearing to failure need not be estimated, thus eliminating an unknown in the design procedure. This method is most commonly used in the analysis of short term stability and design of staged construction.

## 4.2.4 Factor of Safety

The factor of safety to be used in the stability analysis will depend on the following factors:

- Method of analysis
- Reliability of the design method
- Reliability of the design soil parameters
- Consequences of failure in terms of human life and economic loss.

O'Riordan & Seaman (1993) reports that BS6031:1981 gives no specific values or method for soil strength determination for use in embankment design. It only refers to a range of factor of safety between 1.3 and 1.4 for cut slopes.

Generally in practice, the factor of safety on shear strength (FOS) from total stress or undrained strength analyses used in temporary stage is usually taken as between 1.2 to 1.3. FOS of 1.4 and 1.5 are normally adopted in effective stress analyses of embankment for permanent stage. It should be noted that designing with low FOS increases the possibility of large vertical and lateral ground deformations and also risk of failure.

# 4.3 Settlement Calculation

Settlement of the subsoil supporting the embankment will take place during and after filling. It is necessary to evaluate both the magnitude and rate of settlement of the subsoil supporting the embankment when designing the embankment so that the settlement in the long term will not influence the serviceability of the embankment.

In carrying out stability analyses, it is necessary to estimate the magnitude of settlement which occurs during construction so that the thickness of the fill can be designed to ensure stability. An iterative process is required in the estimation of settlement because the extra fill (more load) required to compensate for settlement will lead to further settlement.

Usually the assumptions of one-dimensional consolidation are generally valid for embankment which have widths greater than the thickness of the compressible soil layer; Davis and Poulos (1972). This paper only covers the one-dimensional problem

For clay layer of larger thickness, horizontal flow of pore-water may be more significant and the one-dimensional theory tends to underestimate the rate of consolidation. The two-dimensional consolidation can be solved numerically using solutions proposed by Terzaghi (1923) and Rendulic (1936), as described by Murray (1971 and 1974)

## 4.3.1 Magnitude of Settlement

When a load of finite dimensions is rapidly applied to a saturated clay, the resulting settlement can be conveniently divided into three stages:

- (A) Initial Settlement (also called immediate or undrained or shear settlement),  $\rho_i$
- (B) Primary Consolidation Settlement,  $\rho_c$
- (C) Secondary Compression,  $\rho_s$

# (A) Initial Settlement, $\rho_i$

During application of the load, excess pore pressures will set up in the clay, but relatively little drainage of water will occur since the clay has a low permeability.

Estimation of initial settlement can be carried out using elastic displacement theory as:

$$\rho_{i} = \sum \frac{1}{E_{U}} (I \cdot q) dh$$

Where

q = Applied Stress / Pressure on the subsoil (kPa).

dh = thickness of each layer (m).

 $E_u$  = Undrained Young's Modulus of the subsoil (kPa)

I = Influence factor

A useful chart is given by Osterberg (1957) and shown in Figure 5. The chart allows estimation of the initial settlement of the embankment.

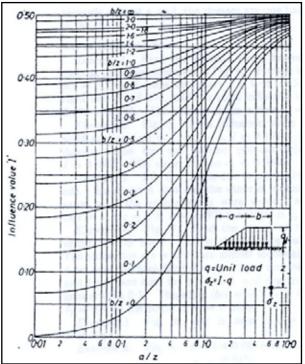


Figure 5: Influence Chart for Vertical Stress Embankment Loading – Infinite Extent (from Osterberg, 1957)

# (B) Consolidation Settlement, $\rho_c$

With time, the excess pore water pressures dissipate as drainage occurs and the clay undergoes further settlement due to volume changes as stress is transferred from pore pressure u to effective stress. The rate of volume change and corresponding settlement is governed by how fast the water can drain out of the clay under the induced hydraulic gradients.

One dimensional primary consolidation settlement can be estimated using the expression :

$$\rho_{c} = \sum_{i=1}^{n} \left[ \frac{C_{r}}{1 + e_{o}} \log \frac{\sigma'_{p}}{\sigma'_{vo}} + \frac{C_{c}}{1 + e_{o}} \log \frac{\sigma'_{vf}}{\sigma'_{vc}} \right] H_{i}$$

where

 $\rho_c$  = Consolidation Settlement Magnitude (m)

 $\sigma'_{vo}$ = Initial vertical effective stress

 $\sigma'_{vf}$  = Final vertical effective stress

 $= \sigma'_{vo} + \Delta \sigma'_{v} \ge \sigma'_{vc}$ 

 $\sigma'_{vc}$  Preconsolidation Pressure / Yield Stress  $H_i$  = Initial thickness of incremental soil layer,

iofn

 $e_o$  = Initial voids ratio  $C_C$  = Compression Index

 $C_r$  = Recompression Index

Values of  $\Delta \sigma'_{v}$  at the centre of each soil layer due to embankment loading can be estimated using elastic theory, Poulos and Davis (1974). The parameters  $\sigma'_{p}$ ,  $e_{o}$ ,  $C_{C}$  and  $C_{R}$  can be obtained from oedometer consolidation tests. The notation and terminology used are shown in Figure 6.

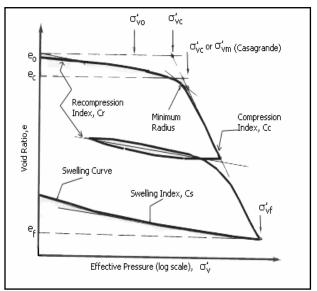


Figure 6: Notation and Terminology used for Oedometer Compression Curves (from Balasubramaniam & Brenner, 1981)

# (C) Secondary Compression, $\rho_s$

Even after complete dissipation of the excess pore pressures and the effective stresses are about constant, there will generally be further volume changes and increased settlement which is termed as Secondary Compression.

$$\rho_{s} = \sum_{i=1}^{n} \left[ \frac{C_{\alpha}}{1 + e_{n}} \log(t) \right] H_{i}$$

where

 $\rho_s$  = Secondary Compression Magnitude (m)

 $H_i$  = Initial thickness of incremental soil layer, i

of n.

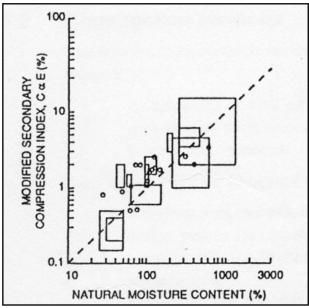
 $e_p$  = Voids ratio at the end of primary consolidation

 $C_{\alpha}$  = Secondary Compression Index.

t = Time for calculation.

Other than oedometer tests, the secondary compression ratio or Modified Secondary Compression Index,  $(C_{\alpha}/(1+e_p))$  can be estimated

from the relationship proposed by Mesri (1973) as shown in Figure 7.



Relation Secondary 7: between Compression Ration and Water Content (from Mesri, 1973)

#### Rate of Settlement 4.3.2

For one dimensional consolidation with vertical drainage, the degree of consolidation, U<sub>v</sub> is a function of the time factor,  $T_{\nu}$  where :

$$T_v = c_v t / H_D^2$$

Where

= Coefficient of consolidation (m<sup>2</sup>/year) Time following application of loading (year)

 $H_D$  = Drainage path length (m)

The average degree of consolidation as a function of time factor for Terzaghi's theory of consolidation by vertical flow can be expressed as:

$$U_{\rm v} = \sqrt{\frac{4T_{\rm v}}{\pi}}$$

for 
$$T_v = c_v t / H_D^2 < 0.2$$
  
 $U_v = 1 - \frac{8}{\pi^2} exp \left( \frac{-\pi^2 T_v}{4} \right)$ 

for  $T_v = c_v t / H_D^2 \ge 0.2$ 

The coefficient of consolidation, cv, can be obtained from oedometer tests at the levels of effective stress similar to those anticipated under Another reliable way to embankment loading. determine c<sub>v</sub> is from field in-situ permeability tests together with m<sub>v</sub> from laboratory oedometer consolidation tests:

$$c_v = k / (m_v \gamma_w)$$

where

permeability from field permeability tests

coefficient of compressibility (m<sup>2</sup>/kN) m,

density of water (kN/m<sup>3</sup>)

The use of field values of k will give a better representative effects of large scale soil structure and permeability, not able to be reflected in laboratory tests. Since the permeability and compressibility of the soil reduce with increase in effective stress (under embankment loading), the value of c<sub>v</sub> should be modified to reflect the state of stress over the period during which settlement rates are being calculated.

#### 5 **METHODS FOR EMBANKMENT** CONSTRUCTION

From the results of stability analyses results, an engineer will be able to know whether it is feasible or not to construct the embankment in single stage, or multi-stage and combination of other alternative construction methods. Figure 8 shows the flowchart, outlining the summary on selection of construction methods.

In the cost conscious market of today, usually a cost comparison between the various methods which are technically feasible will be required by an engineer throughout the design. Figure 9 shows the basic framework for assessing various factors that can influence the cost. Only by carrying out analysis of the costs and benefits of different methods, will the engineer able to identify where possible modification to the initial constraints can be undertaken.

The following sections of the paper describes of the commonly used embankment construction methods.

#### 5.1 Modification of Embankment Geometry

Reduction of slope angle or construction of counterweight berms improves the stability of the embankment by increasing the length of potential failure surfaces in the soft soils as shown in Figure The weight of the shallow slope or berm counter-balances the disturbing moment on potential failure surfaces under the embankment.

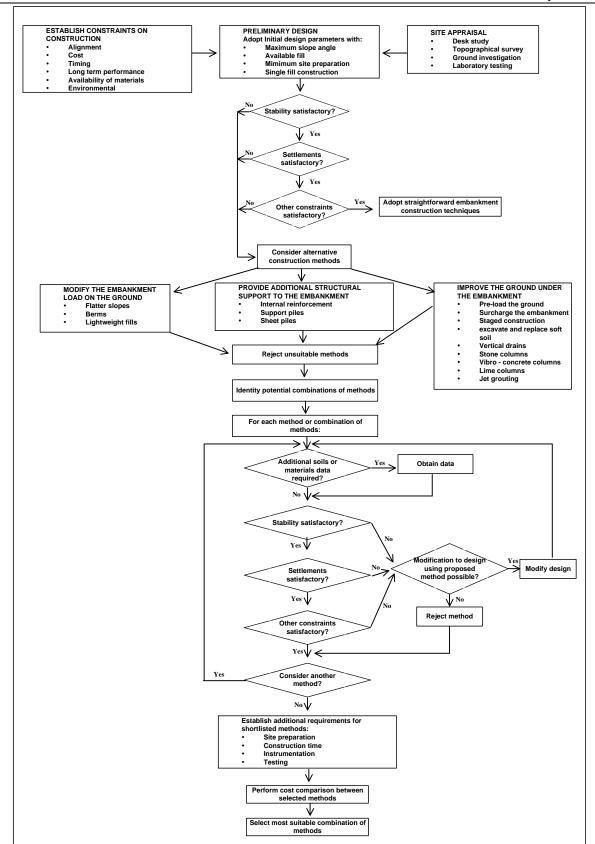


Figure 8: Procedure for the Selection of Construction Method (from O'Riordan & Seaman, 1993)

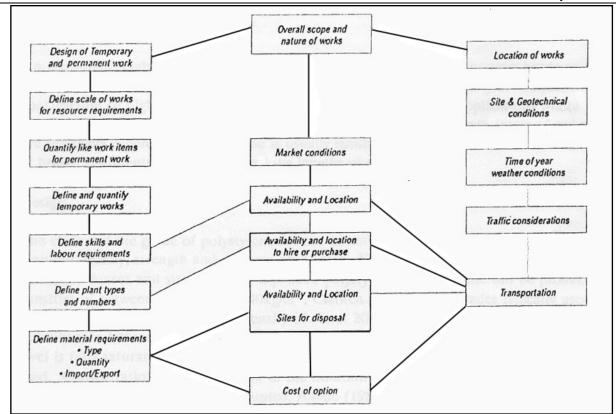


Figure 9: Framework for Establishing Costs of Construction Methods (from O'Riordan & Seaman, 1993)

The fill should be raised equally across the embankment. However this method has a disadvantage of greater land-take and volume of fill materials are needed.

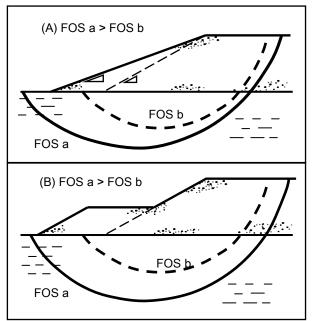


Figure 10: (A) Reduction in Slope Angle (B) Using Berms

# 5.2 Excavation and Replacement of Soft Soils (Total or Partial)

This method is very old but still viable. The very soft compressible cohesive soils are excavated out and replaced with better materials (e.g. compacted sand or suitable fill) that provide a stronger and less compressible foundation. The experience on highway construction in West Malaysia indicates that the excavation and replacement depth up to a maximum depth of 4.5m is viable in terms of cost and practicability. Usually the excavation should extend to at least to the toe of the embankment and beyond to increase the stability of the embankment.

If the soft material is much deeper than the practical excavation depth, partial excavation and replacement is also possible. However the effect on stability and long term settlement of the remaining soft material should be considered. Sometimes partial excavation and replacement of soft material is used with other ground treatment to overcome the above problems.

This method will be more difficult if the groundwater level is high. If pumping of water not practical, then compacted suitable material cannot be used and underwater replacement materials (granular materials) should be used. These

30-31 May 2005

materials shall be of a grading that it is effectively self-compacting. The main disadvantage of the method is the amount of soft soil which needs to be disposed.

#### 5.3 Vertical Drains

Vertical prefabricated band-shaped drains are installed through soft clay soils to accelerate the speed of consolidation of the subsoil by reducing the drainage path lengths and utilizing the naturally higher horizontal permeability of clay deposits. Prefabricated drains using corrugated polymeric materials (polyethylene and polypropylene) for the core, and woven or non-woven fabric or fibre for the filter. They are about 100mm wide, about 4mm thick and are installed using a closed-end mandrel and usually to a depth no more than 30m in very soft soil or terminate shorter in stronger materials (SPT'N'  $\approx 7$  to 10). Pre-boring will be required to penetrate some surface crust or artificial obstructions at the surface.

Vertical drains will only be effective when using in conjunction with another technique, such as pre-loading, surcharging and staged construction (to be discussed in the following sections) and the design is governed by the time allowed in the construction programme for consolidation to occur. The average degree of consolidation for radial consolidation by Barron's theory is given by Hansbo (1981) as:

$$U_h = 1 - \exp\left(\frac{-8T_h}{\mu}\right) \text{ for } T_h = c_h t / D^2$$

Where

$$\mu = \frac{n^2}{n^2 - 1} \ln(n) - \frac{3n^2 - 1}{4n^2}$$

Where

n = drain spacing ratio, D/d

D = Diameter of an equivalent soil cylinder influenced by each drain, which is equal to 1.13s for a square pattern and 1.05s for a triangular pattern.

s = drain spacing

d = equivalent diameter of prefabricated vertical drains =  $2(b+t)/\pi$  (Hansbo, 1981)

The average degree for combined vertical and radial consolidation is obtained from Carillo's theorem (1942):

$$U = 1 - (1-U_v)(1-U_h)$$

The vertical drains should have sufficient capacity to enable the water to discharge to layers above and below the consolidating layer. Granular materials are laid above the ground surface as platform for the movement of the plant and also as drainage layer. Pre-fabricated drains are usually left about 150mm above the initial drainage layer prior to placing further drainage material.

### 5.4 Pre-loading and Surcharging

Pre-loading is to compress the subsoil prior to placing the permanent load. This method involves the placement and removal of fill (pressure) of similar to or greater than the permanent load. On the other hand, surcharging is to subject the ground to higher pressure than that during the service life in order to achieve a higher initial rate of settlement thus reducing long term settlements. Unlike pre-loading, a large proportion of the fill is left behind after the surcharge has been removed. Usually these methods are used to control both total settlement and differential settlement at the abutments to bridge / flyover and where culverts are crossing beneath the embankment.

Several important design criteria for this method are as follows:

- Stability should be checked with preloading or surcharge load
- Pre-loading or surcharging should be designed to chosen construction period
- Settlement after construction should be within the range of tolerances
- The option should be economical
- Proper planning of construction programme for cost effective use of materials available
- Does not cause damage to any adjoining structures.

The magnitude and duration of the pre-loading or surcharging will be controlled by the magnitude of total settlement (consolidation and secondary settlement). Usually the extra loading must continue until the effective stress in the subsoil is larger than that from the long term loading from the embankment. This method can also reduce the effects of secondary compression slightly. Figure 11 shows the concept of pre-loading and surcharging respectively.

## 5.5 Staged Construction

Staged construction is the method by which the embankment can be constructed on the soft soil such that the rate of filling is governed by the increase in soil strength due to consolidation. Usually vertical drains are used together to increase the consolidation process. Usually the design of the staged construction is carried out using undrained strength method (Ladd, 1991). The stability and degree of consolidation can be related to gain in strength from the tests carried out and observations of excess pore water pressures in the ground or indirect methods stated in Sections 6.2 and 6.3.

The use of the staged construction method requires close liaison and communication between the design engineer, contractor and supervising engineer. Instruments like settlement markers, displacement markers, piezometers, etc. need to be placed to monitor the performance of the embankment during construction to prevent failure. In more sensitive cases, confirmation of gain in strength is needed before the application of the next stage of loading.

#### 5.6 Other Methods

There are also other methods to construct embankment on very soft soils. They are:

- Lightweight fills like expanded and extruded polystyrene
- Piled embankment (full slab or pile caps)
- Reinforcement of embankment using

- geosynthetics
- Stone columns (vibro-replacement)

# 6.0 CONSTRUCTION MONITORING AND CONTROL

It is important to monitor the performance of an embankment and the subsoil supporting it during and after construction. Table 2 list different types of instrumentation that can be utilized in embankment construction. Figure 11 shows the embankment instrumentation used in the Muar Flat trial embankment by the Malaysian Highway Authority (1989).

# 6.2 Control of Embankment Stability

It has been widely recognised that the failure of a soft ground is closely related to the magnitude and history of the deformation which taken place before the final failure. This makes the se of the information obtained from the practically possible measurements in the field to control the construction of embankment to be safe and efficient.

If a soft ground is under loading, not only consolidation will occur but also plastic horizontal

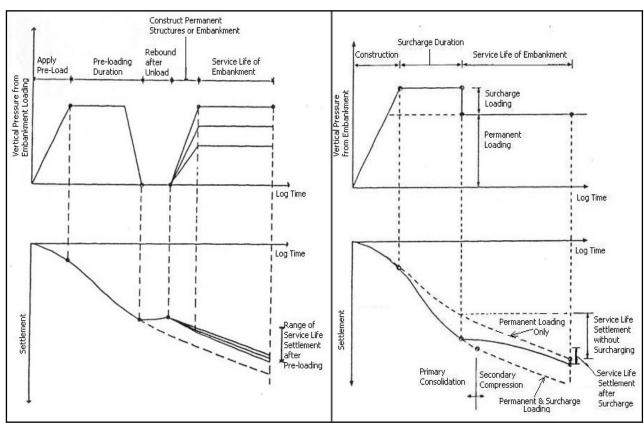


Figure 11: Concept of Pre-Loading and Surcharge

Measurement	Types and Location
Vertical Settlement	<ul> <li>Settlement gauges on original ground surface or base of excavation.</li> <li>Settlement markers on surface of fill or ground outside the embankment.</li> <li>Full-profile settlement gauges under the embankment.</li> <li>Subsurface Settlement gauges or extensometers in the subsoil beneath the embankment to measure settlement at different depths of the subsoil.</li> </ul>
Horizontal	- Inclinometers in the subsoil at toe of embankment.
Movement	- Displacement markers at the top and toe of embankment.
Pore Water	- Piezometers (preferably vibrating wire type) at several depths
Pressures	and locations in the subsoil beneath the embankment.

Table 2: Types of Instrumentation for Embankment

flow (shear deformation). This fact makes it difficult to theoretically distinguish the relationship between the displacement and the failure of a soft ground. Therefore it is very important to find the relationship between the displacement and the failure to help control the construction of embankment. Qualitatively, failure will occur when the progress of the shear deformation is faster than that of consolidation settlement.

Assuming the settlement,  $\rho_t$  at the centre of the embankment as an index of consolidation settlement and the lateral displacement,  $\delta$  of the embankment as an index of the shear deformation, the progress of  $\delta$  in relation to  $\rho_t$  can be used as an indicator of embankment stability.

Matsuo et. al. (1977) proposed his plot after observing the deformation of many embankments and plotted the process of displacement during construction of each embankment. As reported, it is astonishing that although the section and the unit weight of each embankment, the soil properties and the thickness of each soft layer and other surroundings are different from each other, but many embankments under such different conditions failed near the one curve which can be regarded as the "Failure Criterion Line" as shown in Figure 13. Therefore the failure of the embankment can be predicted by plotting the observed settlements and horizontal displacements on this diagram.

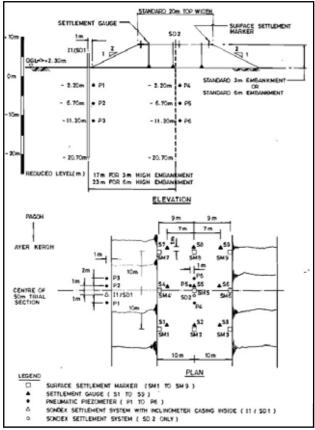


Figure 12: Layout of Instrumentation Scheme (MHA, 1989)

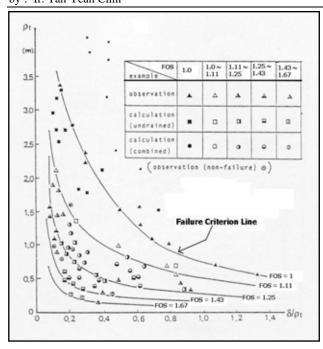


Figure 13: Modified Matsuo Stability Plot

#### 6.3 Control of Embankment Settlement

There are two commonly used methods to interpret the measured settlement. They are :

- (A) Hyperbolic Method (Chin, 1970; Tan, 1971 & Tan, 1995)
- (B) Asaoka Method (Asaoka, 1978)

#### 6.3.1 Hyperbolic Method

This method is usually used to evaluate future settlement based on measured settlement data. This method is based on the assumption that the settlement-time curve is similar to hyperbolic curve and can be represented by the equation shown in Figure 14.

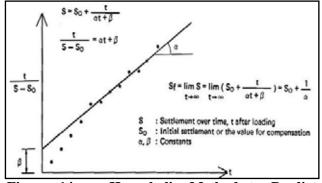


Figure 14: Hyperbolic Method to Predict Future Settlement.

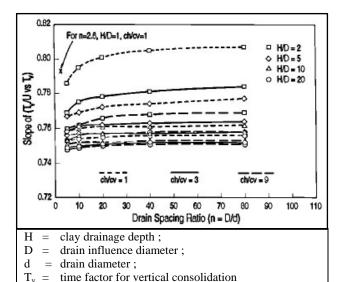


Figure 15: Plot of α<sub>i</sub> (from Tan et.al. 1996)

dial drainage.

degree of consolidation of combined vertical and ra-

From the figure, we can predict the long term settlement with time. However the final settlement estimated through this method by using the measured data of early period after loading may be on the high side.

Tan (1992) proposes the use of Hyperbolic method for field estimation of total primary settlement of subsoil treated with vertical drains and surcharge. The procedure for use of the method involves four simple steps as outlined below:

Step 1: Plot the the field settlement data as  $(t/\rho)$  vs t, where t is the time and  $\rho$  is the settlement from the beginning of surcharge load application. This method is not applicable if the construction time of the embankment with surcharge is more than the time to achieve 60% consolidation.

Step 2: From the plot, identify the first linear segment immediately after the initially concave downward or humped segment of the curve, and measure its slope,  $A_i$  (these corresponds to data between the 60% and 90% settlement points).

Step 3: From the estimated relevant soil and drain parameters (n,  $H_D/D$  and  $c_h/c_v$ ), determine by interpolation of Figure 15, the applicable theoretical value of the initial linear slope,  $\alpha_i$ .

Step 4: Calculate the slope of the lines from the origin intercepting the 60% and 90% settlement points by Equations (a) and (b). Draw the three lines and obtained the interception points. The total primary settlement can be estimated as either ( $\alpha_i$  / S), ( $\rho_{60}$  / 0.6) or ( $\rho_{90}$  / 0.9). All three estimates

should be close as a verification to the correctness of the prediction

$$S_{60} = S_i \frac{\alpha_{60}}{\alpha_i} = (1/0.6) \frac{S_i}{\alpha_i}$$
 (Eqn (a))

$$S_{90} = S_i \frac{\alpha_{90}}{\alpha_i} = (1/0.9) \frac{S_i}{\alpha_i}$$
 (Eqn (b))

Figure 16 shows a typical example of hyperbolic method.

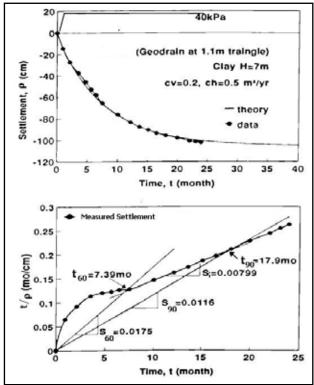


Figure 16: Example of Hyperbolic Plot Method (adapted from Tan et. al., 1996)

### 6.3.2 Asaoka's Method (1978)

A graphical approach to estimate final total primary consolidation settlement and settlement rates from settlement data obtained during a certain time period has be proposed by Asaoka (1978). The steps in the graphical procedure are as follows:

Step 1: The measured time-settlement curve is plotted to an arithmetic scale and is divided into equal time intervals,  $\Delta t$ .  $\Delta t$  can be about 7 to 60 days depending on the available information. The settlements  $\rho_1$ ,  $\rho_2$ ,  $\rho_3$ , ..... corresponding to the time  $t_1$ ,  $t_2$ ,  $t_3$ , .... are tabulated as shown Figure 17(a).

Step 2: The settlement values  $\rho_1$ ,  $\rho_2$ ,  $\rho_3$ , .... are plotted as points  $(\rho_{i-1}, \rho_i)$  in a coordinate system with axes  $\rho_{i-1}$  and  $\rho_i$ , as shown in Figure 17(b). The  $45^{\circ}$  line  $\rho_i = \rho_{i-1}$  shall also be drawn.

Step 3: A straight line (I) is fitted through the points. The point where this line intersects the  $45^{\circ}$  line gives the final consolidation settlement,  $\rho_{C}$ . The slope  $\beta_{1}$  is related to the coefficient of consolidation,  $c_{v}$  and can be used to calculate the rate of settlement as follows:

$$c_{v} = -\frac{5}{12}h^2 \frac{\ln \beta_1}{\Delta t}$$

The graphical method above is limited to a single layer with one-way or two-way drainage.

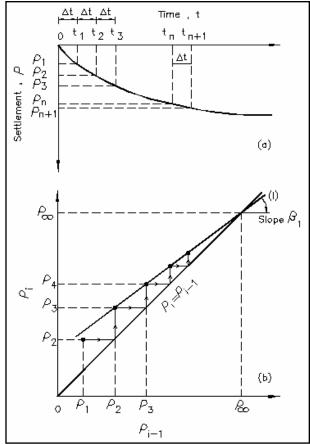


Figure 17: Graphical Method of Asaoka

#### 7 CONCLUSION

The basic requirements for a successful construction of embankment over very soft compressible alluvial deposits are summarized below:

- Awareness of the project requirements in terms of serviceability criteria (deformation tolerances, bearing capacity, etc.), costs (construction cost and maintenance cost), site constraint and time (construction time, service period).
- Knowledge on the site and subsoil conditions through proper desk study,

- gathering of geological information and well planned and supervised subsurface investigation and laboratory testing to acquire the necessary reliable parameters for geotechnical designs.
- Proper geotechnical design to address both stability of the embankment and control of deformation.
- Full time proper supervision of the construction works by qualified personnel / engineer.
- Careful and proper monitoring on the performance of the embankment during and after construction through instrumentation scheme.

#### REFERENCES

- Asaoka, A. (1978) "Observational Procedure of Settlement Prediction". Soils and Foundations, 18(4), pp87-101.
- Balasubramaniam, A.S. & Brenner, R.P. (1981) "Consolidation and Settlement of Soft Clay". Soft Clay Engineering (Development in Geotechnical Engineering), V.20, Elsevier Scientific Publishing Company, pp 481-527.
- Barron, R.A. (1948) "Consolidation of Fine-Grained Soils by Drains Wells". Trans ASCE, 113, pp718-754.
- Bishop, A.W. (1955) "The use of the Slip Circle in the Stability Analysis of Slopes". Geotechnique, Vol 5, pp 7-17.
- Bosch, J.H.A. (1988) "The Quaternary Deposits in the Coastal Plains of Peninsular Malaysia". Quat. Geol. Sect., Report QG/1, Geol. Survey Malaysia, p.87.
- Davis, E.H. & Poulos, H.G. (1972) "Rate of Settlement under Two- and Three-Dimensional Conditions". Geotechnique, London, Vol.22, pp.95-114.
- Davis, E.H. & Poulos, H.G. (1968) "The use of Elastic Theory for Settlement Prediction under Three-Dimensional Conditions". Geotechnique, London, Vol.18.
- Emmel, F.J. and Curray, J.R. (1982) "A Submierged Late Pleistocene Delta and Other Features Related to Sea-Level Changes in the Malacca Strait". Marine Geol., Vol. 47, pp.197-216
- Gue, S.S. (1999) "Selection of Geotechnical Parameters for Design". Short Course on Soil Investigation and Design for Slope

- (SCOFG99), 11 & 12<sup>th</sup> May, 1999, Kuala Lumpur.
- Gue, S.S. & Tan, Y.C (2000). "Subsurface Investigation and Interpretation of Test Results for Foundation Design in Soft Clay". Seminar on Ground Improvement Soft Clay (SOGISC-2000), 23<sup>rd</sup> & 24<sup>th</sup> August 2000, UTM, Kuala Lumpur.
- Hansbo, S. (1981) "Consolidation of Fine-Grained Soils by Prefabricated Drains". Paper 12/22: Proc. 10<sup>th</sup> Intl. Conf. Soil. Mech. Found. Engrg., Stockholm, Swedan, 3, 677-682.
- Janbu, N. (1972) "Slope Stability Computations". Embankment Deam Engineering: Casagrande Vol., John Wiley & Sons, New York, pp.47-86.
- Ladd, C.C. (1991) "Stability Evaluation during Staged Construction". ASCE, JGED, Vol.117, No.4, pp.540-615.
- Ladd, C.C. & Foott, R.F. (1974) "New Design Procedure for Stability of Soft Clays". ASCE, JGED, Vol.117, No.4, pp.540-615.
- Matsuo, M. & Kawamura, K. (1977) "Diagram for Construction Control of Embankment on Soft Ground". Soils and Foundations, Vol. 17, No. 3, pp:37-52
- Mesri, G. (1988) "A Reevaluation of  $s_{u(mob)}$ =0.22 $\sigma_p$ " using Laboratory Shear Tests". Can. Geotech. J., Vol.26, pp.162-164.
- Mesri, G. (1973) "Coefficient of Secondary Compression". ASCE, JSMFD, Vol.99, No.SM1, pp.123-137.
- Morgenstern, N.R. & Price, V.E. (1965) "The Analysis of the Stability of General Slip Surfaces". Geotechnique, Vol.15, pp.79-93.
- Murray, R.T. (1971) "Embankments Constructed on Soft Foundations: Settlement Study at Avonmouth". Report LR419, Road Research Laboratory.
- Murray, R.T. (1974) "Two-Dimensional Analysis of Settlement by Computer Program". Transport and Road Research laboratory, Report LR617.
- Neoh, C.A. (1999) "Planning of Site Investigation and Insitu Testing". Short Course on Soil Investigation and Design for Slope (SCOFG99), 11 & 12<sup>th</sup> May, 1999, Kuala Lumpur.
- Raj, J.K. & Singh, M. (1990) "Unconsolidated Holocene Sediments along the North-South Expressway". Proc. of the Seminar on Geotechnical Aspects of the North-South Expressway, Kuala Lumpur, pp.159-166.
- O'Riordan, N.J. & Seaman, J.W. (1993) "Highway Embankments over Soft Compressible Alluvial Deposits: Guidelines for Design and

- Construction". Contractor Report 341, Transport Research Laboratory, Department of Transport, U.K.
- Osterberg, J.O. (1957) "Influence Values for Vertical Stresses in a Semi-infinite Mass due to an Embankment Loading". Proc. 4<sup>th</sup> ISMFE, pp.393-394.
- Poulos, H.G. & Davis. E.H. (1974) "Elastic Solutions for Soil and Rock Mechanics". Wiley, New York.
- Sarma, S.K. (1979) "Stability Analysis of Embankments and Slopes". ASCE, JGED, Vol.105, pp1511-1524.
- Skempton, A.W. (1957) "Discussion: The Planning and Design of the New Hong Kong Airport". Proc. ICE, Vol.7, pp.305-307.
- Stauffer, P.H. (1973) Cenozoic. In Gobbett, D.J. & Hutchison, C.S. (Eds.) "Geology of the Malay Peninsular West Malaysia and Singapore". John Wiley Interscience, New York, pp.143-176.
- Tan, Y.C. & Gue, S.S. (2000) "Design of Embankment on Very Soft Cohesive Soils" Seminar on Ground Improvement – Soft Clay (SOGISC-2000), 23<sup>rd</sup> & 24<sup>th</sup> August 2000, UTM, Kuala Lumpur.
- Tan, Y.C. (1999) "Piezocone Tests and Interpretation". Short Course on Soil Investigation and Design for Slope (SCOFG99), 11 & 12<sup>th</sup> May, 1999, Kuala Lumpur.
- Tan, Y.C. (1994) "Analysis of Trial Embankments at the Muar Flat Site, Malaysia". Master Thesis, Asian Institute of Technology, Bangkok, Thailand.
- Tan, S. A. (1995) "Validation of Hyperbolic Method for Settlements in Clays with Vertical Drains". Soils and Foundations, Vol35(1), pp.101-113.
- Tan, S. B. (1971) "An Empirical Method for Estimating Secondary and Total Settlement".
   Proc. 4<sup>th</sup> Asian Regional Conf. on Soil Mech. & Found., Bangkok, pp147-151.